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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/783.580

02/15/2001

AUG 2 2 2001

Lawrence E. Cornett

023533/0113

CONFIRMATION NO. 4347

FORMALITIES LETTER

OC000000006111473

Richard C. Peet **FOLEY & LARDNER** Washington Harbour

3000 K Street, N.W., Suite 500 Washington, DC 20007-5109

Date Mailed: 05/24/2001

355.00 OP

207.00 Op

65.00 ND

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

• The statutory basic filing fee is missing. Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming 08/23/2001 RHARIS1 00000146 09783580 such status (37 CFR 1.27). 01 FC:201 02 FC:202

03 FC:203

04 FC:205

- Total additional claim fee(s) for this application is \$407.
 - \$207 for 23 total claims over 20.
 - \$200 for 5 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$827.
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821 (f), 1.821(q), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to



be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Lawrence E. CORNETT et al.



RECOMBINANT BETA2-

ADRENERGIC RECEPTOR DELIVERY AND USE IN TREATING AIRWAY AND VASCULAR DISEASES

09/783,580

Filing Date:

02/15/2001

Examiner:

Unassigned

Art Unit:

1614

TRANSMITTAL OF MISSING PARTS OF PATENT APPLICATION

Attn.: BOX MISSING PARTS Commissioner for Patents Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed on May 24, 2001, in the above-identified application, transmitted herewith are the missing parts needed to complete the filing of the subject patent application.

Enclosed are:

- [X] Declaration and Power of Attorney (4 pages)
- [X] Copy of Notice to File Missing Parts (Part 2 of Form PTO-1533)
- [X] Request for Extension of Time (1-month)
- [X] Check in the amount of \$882.00 in payment of:
 - \$ 55 surcharge for Extension of Time (1-month);
 - \$207 surcharge for 23 additional claims over 20;
 - \$200 surcharge for 05 additional independent claims over 3; and
 - \$ 65 surcharge for late filing fee or oath or declaration as set forth in
 - (37 C.F.R. § 1.16(e)).

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Date: August 22, 2001

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Respectfully submitted

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35,057 for